# Aaron (Jiaxun) Li

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# Education

## Harvard University

M.E. Computational Science and Engineering (Thesis Track) Cross-Registered at MIT EECS GPA: 3.91/4.00

## University of California, Berkeley

B.A. Computer Science (EECS Honors), GPA: 3.92/4.00 B.A. Psychology, GPA: 3.90/4.00

# **Research Interests**

Explainable AI, Mechanistic Interpretability, Trustworthy AI, Large Language Models

# **Research Experience**

## AI4LIFE Research Group, Harvard University

Graduate Student Researcher, advised by Prof. Himabindu Lakkaraju

• **RLHF's Impact on Language Model Trustworthiness** Conducted the first systematic evaluation of RLHF's impact on trustworthiness, revealing conflicts between alignment goals and dataset limitations; introduced a novel influence function-based data attribution method for RLHF, which enables downstream data-level mitigation.

## • Unified Evaluation for Robustness of Sparse Autoencoders (In Progress) Explored the limitations of sparse autoencoders by evaluating the robustness of their generated concept-level interpretations of pretrained LLMs; working on efficient input-level attacks that manipulate the neuron activation patterns in the sparse latent representations.

#### • Chain-of-Thought (CoT) in Weak-to-Strong Generalization (In Progress) Evaluated the change in LLM performance with CoT prompting as the result of weak-to-strong generalization; working on explaining the changes in reasoning coherence and CoT faithfulness.

• Certified LLM Defense

Provided certified robustness guarantees for empirical defense procedures against adversarial prompting targeting LLMs. Developed efficient variants of certifiable safety-checking algorithms.

## Yu Group, UC Berkeley

Undergraduate Researcher, advised by Prof. Bin Yu

# • Efficient Concept-level Debugging for Prototype-based Neural Networks

Improved model interpretability of widely used prototype-based CNNs by aligning generated visual explanations with collected human preferences. Proposed the Reward-Reweighing, Reselecting, and Retraining (R3) debugging framework, which uses reward models trained with human feedback to perform corrective updates, improving both predictive performance and interpretability.

## Extended Course Project, Harvard University

Advised by Prof. Finale Doshi-Velez

## • Interpretable Inverse Reinforcement Learning via Reward Decomposition

Designed an interpretable inverse reinforcement learning framework with reward decomposition, enabling transparent decision-making explanations and allowing users to evaluate and critique the trustworthiness of model outputs in high-stakes scenarios.

August 2019 - May 2023

Sep. 2023 - Present

Aug. 2022 - Aug. 2023

Oct. 2023 - May. 2024

September 2023 - May 2025 (Expected)

#### Shanghai AI Lab

Research Intern @ Speech Group

#### • Post-hoc Evaluation of Content and Speaker Information

Used post-hoc explainability methods such as LIME and Shapley Values to analyze state-of-the-art text-to-speech and voice conversion frameworks, proposing an empirical gradient-based evaluation metric to quantitatively measure the disentanglement of content and speaker information.

#### Ponce Lab, Harvard University

Undergraduate Researcher, advised by Prof. Carlos R. Ponce

• Online Input-level Neuron Control

Extended existing online neuron control algorithms from the continuous space to the discrete image space of fixed datasets. Proposed and implemented a GAN inversion method that leverages local geometric properties in the latent feature space, allowing for the adaptation of continuous methods to a discrete setting.

# Publications

- On the Inherent Instability of Sparse Autoencoders Aaron J. Li, Suraj Srinivas, Himabindu Lakkaraju Paper in preparation, planned submission to ICML 2025
- More RLHF, More Trust? On the Impact of Preference Alignment on Trustworthiness Aaron J. Li, Satyapriya Krishna, Himabindu Lakkaraju Under review at ICLR 2025, Top 3% average score
- [3] Improving Prototypical Visual Explanations with Reward Reweighing, Reselection, and Retraining Aaron J. Li, Robin Netzorg, Zhihan Cheng, Zhuoqin Zhang, Bin Yu ICML 2024
- [4] Certifying LLM Safety Against Adversarial Prompting Aounon Kumar, Chirag Agarwal, Suraj Srinivas, Aaron J. Li, Soheil Feizi, Himabindu Lakkaraju COLM 2024

# Teaching Experience

## Course Staff @ UC Berkeley EECS Department

CS 170: Efficient Algorithms and Intractable Problems (Fall 2021)

- CS 188: Introduction to Artificial Intelligence (Summer 2021)
- CS 70: Discrete Mathematics and Probability Theory (Summer 2020)

# Skills

**Programming Languages:** Python, Java, C++, C, MATLAB, R **Frameworks:** PyTorch, CUDA, TensorFlow, Keras, Gym, Ray, etc. **Tools & Utilities:** Git, Slurm, Conda, Bash, Jupyter, tmux, SQL, etc.

# Coursework

**Undergraduate:** Machine Learning, Deep Learning, Computer Vision, Reinforcement Learning, Probability and Random Processes, Convex Optimization, Signal Processing, Efficient Algorithms, Human Neuroanatomy, Neuroimaging, Computational Models of Cognition

**Graduate:** Inverse Reinforcement Learning, Sensorimotor Learning, Spoken Language Processing, Geometric Machine Learning, Efficient Machine Learning

Jun. 2023 - Sep. 2023

Jun. 2022 - Dec. 2022